



P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Robert A. Morgan et al.

Serial No.: 09/751,423

Filed: December 29, 2000

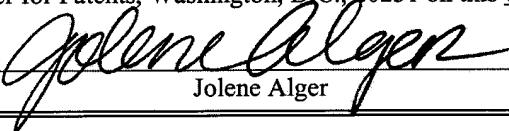
For: SPATIALLY MODULATED REFLECTOR FOR AN OPTOELECTRONIC DEVICE

Docket No.: 1100.1114101

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL837558528US, in an envelope address to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 12th day of April, 2001

By _____



Jolene Alger

Jolene Alger

Dear Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

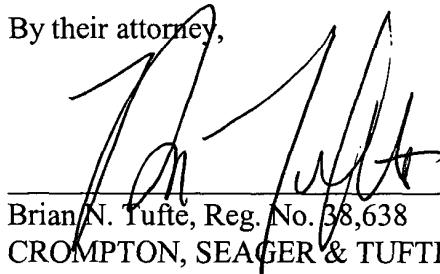
To complete the above-captioned application, please find enclosed the "PART 2-COPY TO BE RETURNED WITH RESPONSE" copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(B) - FILING DATE GRANTED, a Declaration signed by the named inventors, and a check in the amount of \$1,832 [the basic filing fee (\$710), surcharge (\$130), total additional claims (\$432) and additional independent claims (\$560)] is enclosed. Permission is hereby granted to charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

Any inquiry regarding the above-referenced matter may be directed to the undersigned representative.

Respectfully submitted,

Robert A. Morgan et al.

By their attorney,



Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South, Suite 895
Minneapolis, MN 55401
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

Dated: April 12, 2001



#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,423	12/29/2000	Robert A. Morgan	1100.1114101

CONFIRMATION NO. 7851

FORMALITIES LETTER



OC000000005765534

Brian N. Tufte
CROMPTON, SEAGER & TUFTE, LLC
Suite 895
331 Second Avenue South
Minneapolis, MN 55401-2246

Date Mailed: 02/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$992.
 - \$432 for 24 total claims over 20.
 - \$560 for 7 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

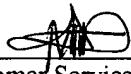
- The balance due by applicant is \$ 1832.

710.00	88.00
432.00	88.00
560.00	130.00
<hr/>	
1832.00	

04/17/2001 EERUBAY1 00000003 09755223

01 FC:101
02 FC:103
03 FC:102
04 FC:105

A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

H.A.
04-13-01Sector
P A T E N TIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The Application of: Robert A. Morgan et al.

Serial No.: 09/751,423

Filed: December 29, 2000

For: SPATIALLY MODULATED REFLECTOR FOR AN OPTOELECTRONIC DEVICE

Docket No.: 1100.1114101

TRANSMITTAL SHEET

Box Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of EL837558528US in an envelope address to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 12th day of April, 2001

By _____

Jolene Alger

We are transmitting herewith the attached:

[] Amendment

[] No additional fee required

[] The fee has been calculated as shown:

CLAIMS AS AMENDED

	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-			x9=	\$	x18=	\$
INDEPENDENT CLAIMS				x39=	\$	X78=	\$
() FIRST MULTIPLE DEPENDENT CLAIM			+130=	\$	+260=	\$	
TOTAL				\$		\$	

[XX] A check in the amount of \$1,832 [the basic filing fee (\$710), surcharge (\$130), total additional claims (\$432) and additional independent claims (\$560)] is enclosed.

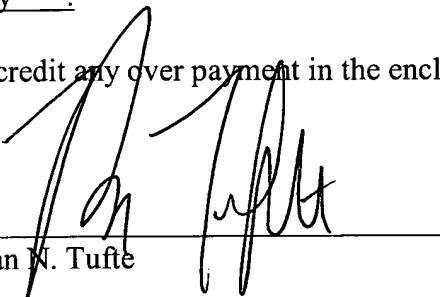
[] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.

[XX] Other: Response to Notice to File Missing Parts, "Part 2-Copy to be returned with Response" copy of the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b)-Filing Date Granted, and Executed Declaration and Power of Attorney.

[XXXX] Please charge any deficiencies or credit any over payment in the enclosed fees to Deposit Account 50-0413.

By: _____

Brian N. Tufte



Reg. No. 38,638

Brian N. Tufte
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